States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/788,639 02/21/2001 Roger Berger

8932-320

20582 PENNIE & EDMONDS LLP 1667 K STREET NW **SUITE 1000** WASHINGTON, DC 20006

AUG 1 3 2001

CONFIRMATION NO. 7055 FORMALITIES LETTER OC000000006300276*

Date Mailed: 07/16/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector #7

Application No.: 09/788,639

Group Art Unit: 3738

Filed: February 21, 2001

Examiner: Unassigned

For:

OCCIPITAL PLATE AND SYSTEM

FOR SPINAL STABILIZATION

Attorney Docket No.: 8932-320

RESPONSE TO NOTICE OF INCOMPLETE REPLY AND SUBMISSION OF SUBSTITUTE DRAWINGS

Box MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply dated July 16, 2001, a copy of which is enclosed, enclosed herewith are fourteen (14) sheets of substitute drawings consisting of <u>Figs. 1-31</u> in compliance with 37 C.F.R. § 1.84. Applicant respectfully requests that the attached drawings be substituted for the drawings originally filed in this application. No new matter has been added.

A fee for an extension of time is believed to be due for this submission and a petition for extension of time is submitted concurrently herewith. Should any additional fees be required, however, please charge such fees to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date August 13, 2001

Seth A. Watkins

Reg. No. 47,169

For: Brian M. Rothery

Reg. No. 35,340

PENNIE & EDMONDS LLP

1667 K Street, N.W. Washington, DC 20006 (202) 496-4400

Enclosures



Application of: Roger BERGER

Application No.: 09/788,639

Group Art Unit: 3738

Filed: February 21, 2001

Examiner: Unassigned

For:

OCCIPITAL PLATE AND SYSTEM

Attorney Docket No.: 8932-320

FOR SPINAL STABILIZATION

PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(a)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

It is respectfully requested that the time for response to the Notice to File Missing Parts dated April 11, 2001, be extended for a period of one additional month, from <u>July 11, 2001</u> to and including <u>August 11, 2001</u>. A copy of the previously filed Petition for Extension of Time, dated June 15, 2001, is enclosed, showing previous authorization for a one month extension of time from June 11, 2001 to and including July 11, 2001, and payment therefor.

The additional fee for this second month extension is estimated to be \$280.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date August 13, 2001

Seth A. Watkins

Reg. No. 47,169

For: Brian M. Rothery

Reg. No. 35,340

08/15/2001 HMDOR1

00000063 161150 09788639

01 FC:116 280.00 CH

PENNIE & EDMONDS LLP 1667 K Street, N.W.

Washington, DC 20006

Enclosure

(202) 496-4400

ANG 1 3 2001 ET THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Roger BERGER

Application No.: 09/788,639

Group Art Unit: 3738

Filed: February 21, 2001

Examiner: Not yet assigned

For:

OCCIPITAL PLATE AND SYSTEM

Attorney Docket No.: 8932-320

FOR SPINAL STABILIZATION

PETITION FOR EXTENSION OF TIME UNDER 37 C.F.R. § 1.136(a)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

It is respectfully requested that the time for response to the Notice to File Missing Parts dated April 11, 2001, be extended for a period of <u>one</u> month, from <u>June 11</u>, <u>2001</u> to and including <u>July 11, 2001</u>.

The fee for this extension is estimated to be \$110.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Date June 15, 2001

Seth A. Watkins

For: Brian M. Rothery

Reg. No. 47,169 Reg. No. 35,340

PENNIE & EDMONDS LLP

1667 K Street, N.W.

Respectfully submitted

Washington, DC 20006

(202) 496-4400

Enclosures